

# A quantum Monte Carlo approach to the nonequilibrium steady state of open quantum systems



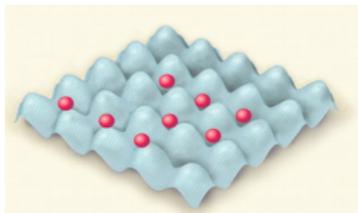
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GPU Day 2017

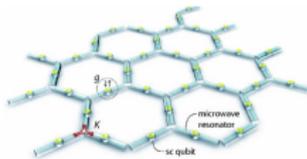


Ultracold atoms  
in optical lattices



Buluta, Nori, Science 326 (2009)

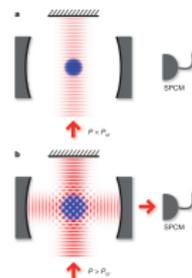
Coupled microcavity arrays



Koch et al., PRA (2010)

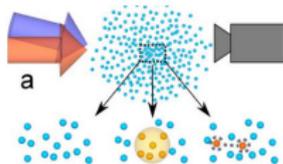
## Driven open many-body dynamics

Driven-open Dicke models



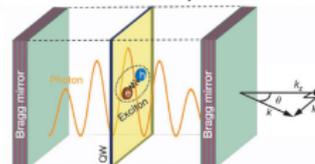
Baumann et al., Nature (2010)

Driven-dissipative Rydberg systems



Carr et al. PRL 2013

Exciton-polariton systems  
in semiconductor quantum wells



Kasprzak et al., Nature (2006)

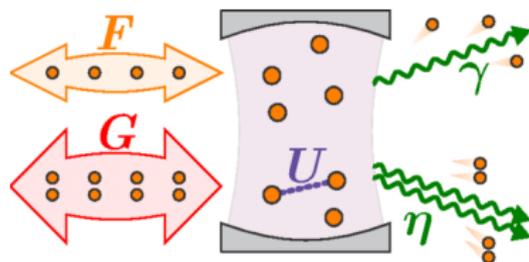
## Open quantum systems

- Coupling to an external environment
- The time evolution follows the [Liouville-von-Neumann master equation](#)

$$\dot{\hat{\rho}}(t) = \mathcal{L}\hat{\rho}$$

$$\dot{\hat{\rho}} = -\frac{i}{\hbar}[\hat{H}, \hat{\rho}] - \frac{\gamma}{2} \sum_j \left[ \{ \hat{K}_j^\dagger \hat{K}_j, \hat{\rho} \} - 2\hat{K}_j \hat{\rho} \hat{K}_j^\dagger \right]$$

- Long-time limit : [nonequilibrium steady state \(NESS\)](#)



Bartolo *et al.*, PRA 94, (2016)

### Hamiltonian system

- Imaginary-time dynamics of  $\psi(\tau)$

$$\dot{\psi}(\tau) = -(\hat{H} - E_0)\psi(\tau)$$

- Eigenvalues  $E$  of  $\hat{H}$  have  $E > E_0$
- Long-time limit is **ground state** :

$$e^{-\tau(\hat{H}-E_0)} : \psi_{in} \xrightarrow{\tau \rightarrow \infty} \psi_0$$

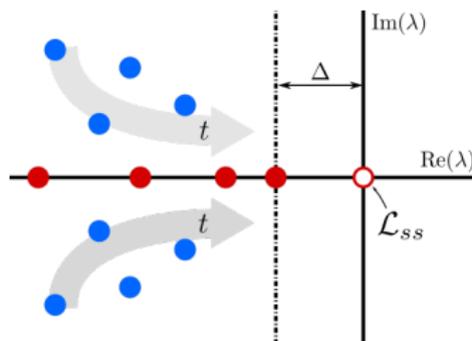
### Lindbladian system

- Real-time dynamics of  $\hat{\rho}(t)$

$$\dot{\hat{\rho}}(t) = \mathcal{L}\hat{\rho}$$

- Eigenvalues  $\lambda$  of  $\mathcal{L}$  have  $\text{Re}(\lambda) \leq 0$
- Long-time limit is **NESS** :

$$e^{\mathcal{L}t} : \rho_{in} \xrightarrow{t \rightarrow \infty} \rho_{ss}$$



## Projector Monte Carlo techniques

- Imaginary time dynamics  $\xrightarrow{\tau \rightarrow \infty}$  exponentially decaying transients
- Stochastic implementation of the power method

$$\psi(\tau + \Delta\tau) = \hat{P}(\Delta\tau)\psi(\tau)$$

- Imaginary-time propagator

$$\hat{P}(\Delta\tau) = e^{-\Delta\tau(\hat{H} - E_0)}$$

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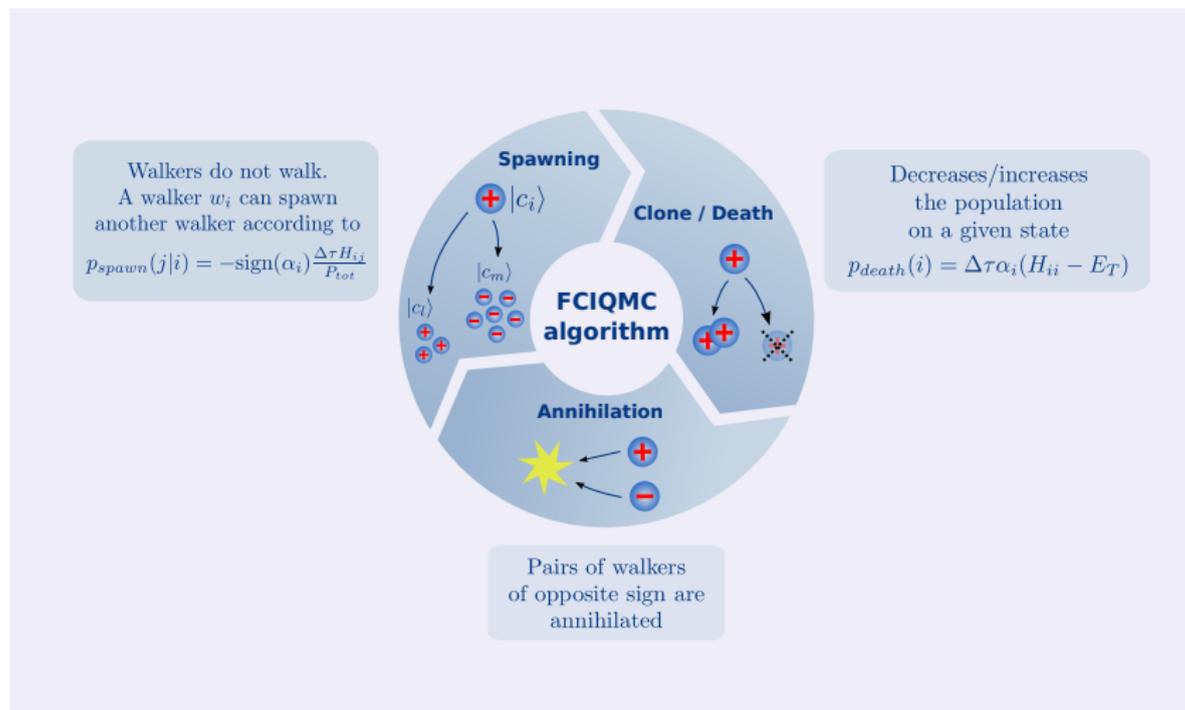
## FCIQMC - Full Configuration Interaction Quantum Monte Carlo

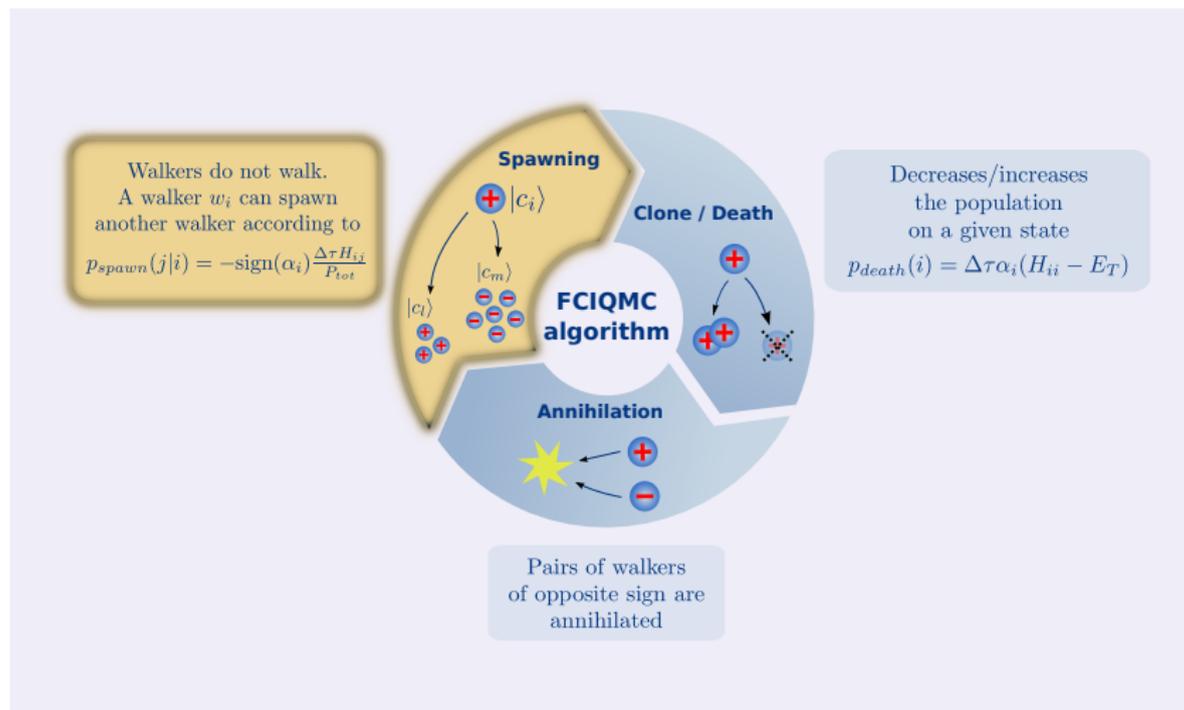
- Linear projector :  $\hat{P}(\Delta\tau) = \hat{I} - \Delta\tau(\hat{H} - E_0)$
- Spanning on a basis set  $\{|c_i\rangle\}$

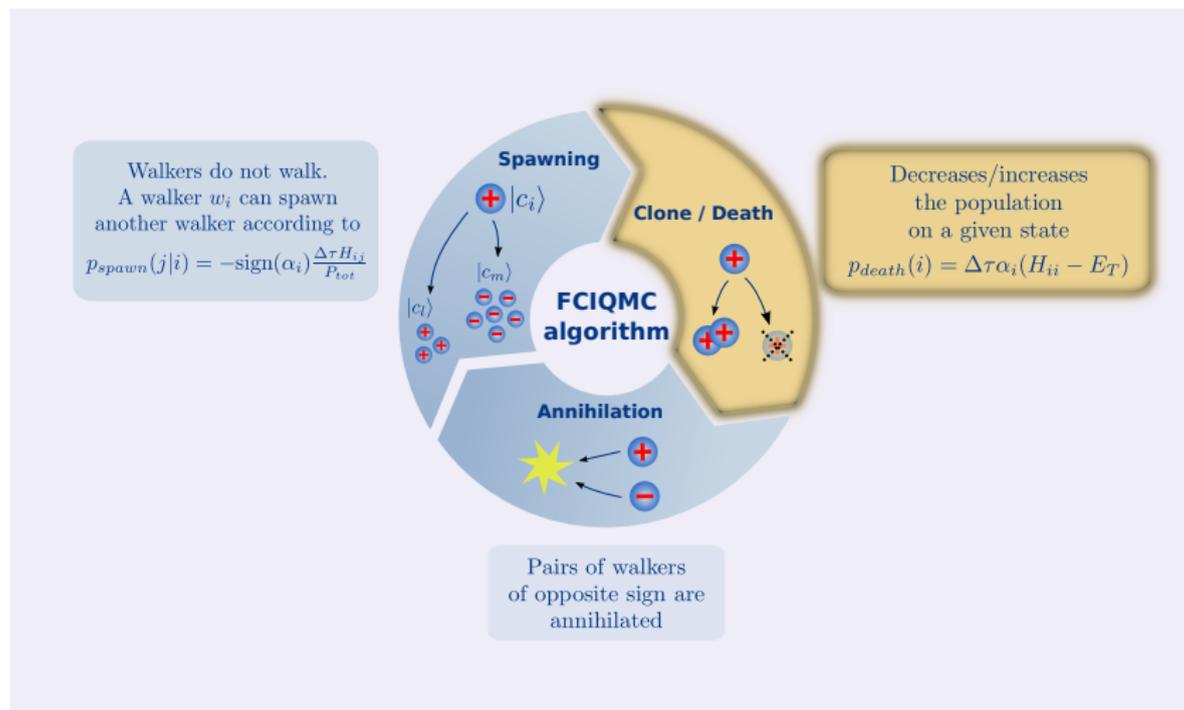
$$\psi(\tau) = \sum_i \alpha_i^\tau |c_i\rangle$$

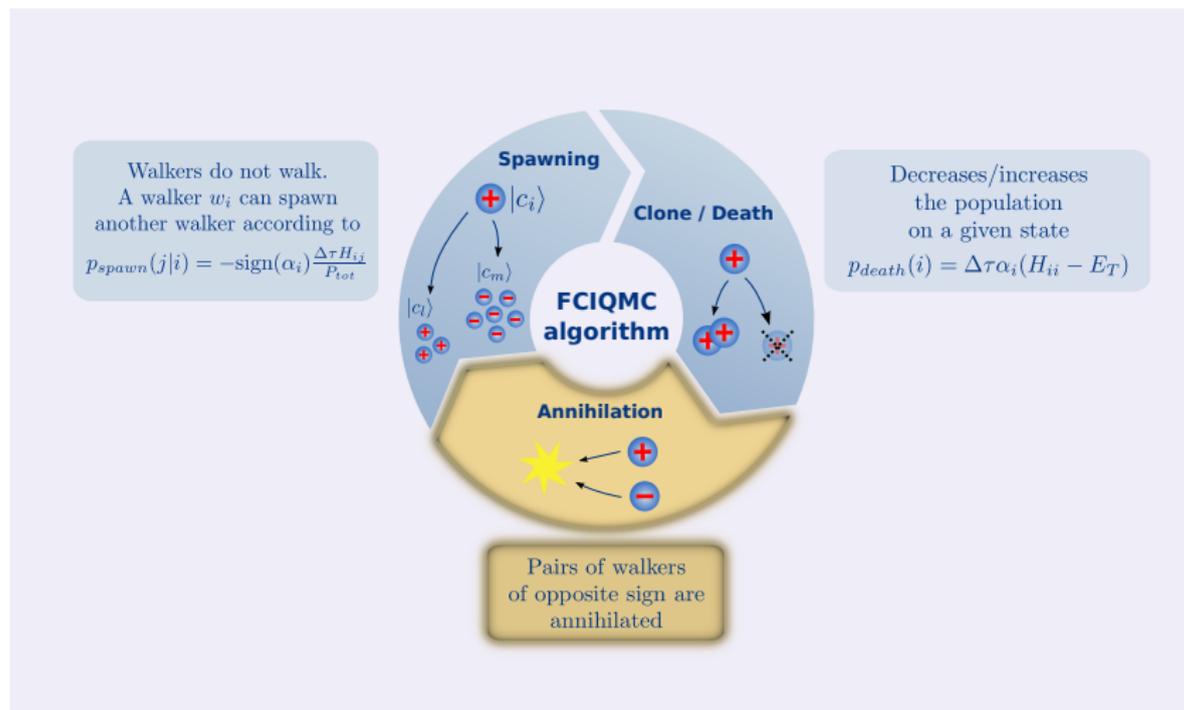
- "Walking" :  $\alpha_i \propto n_i w_i, w_i = \pm 1$

$$\alpha_i^{(\tau+\Delta\tau)} = [1 - \Delta\tau(H_{ii} - E_T)]\alpha_i^\tau - \Delta\tau \sum_{j \neq i} H_{ij} \alpha_j^\tau$$









## Sign problem in QMC

- In simulation of fermions or frustrated magnets
- QMC, a large family tree → various manifestation
- An undesired state grows relative to the state of interest
- **Exponential error growth**

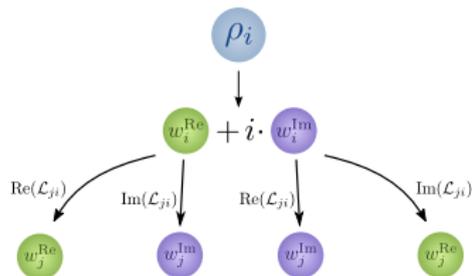
## Why FCIQMC ?

- No need to store the whole Hilbert-space
- Annihilation → stable signal to noise ratio
- Severe sign problem → increasing walker population
- **Highly parallelizable algorithm**

- Real-time evolution leads to NESS  $\rightarrow$  projector scheme MC
- Sample the complex-valued density matrix

$$\rho_i(t + \Delta t) \simeq \rho_i(t) + \sum_j (\mathcal{L}_{ij} - S\delta_{ij})\rho_j(t)\Delta t$$

- Two types of walkers : **real** and **imaginary**

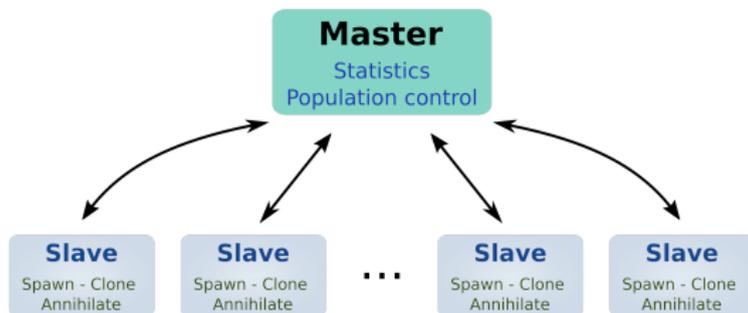


## Additional refinements

- Importance sampling
- Initiator approach
- Problem-specific basis states

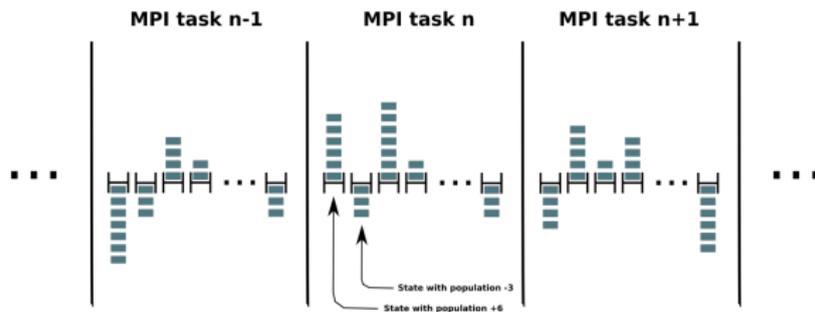
## Main ideas

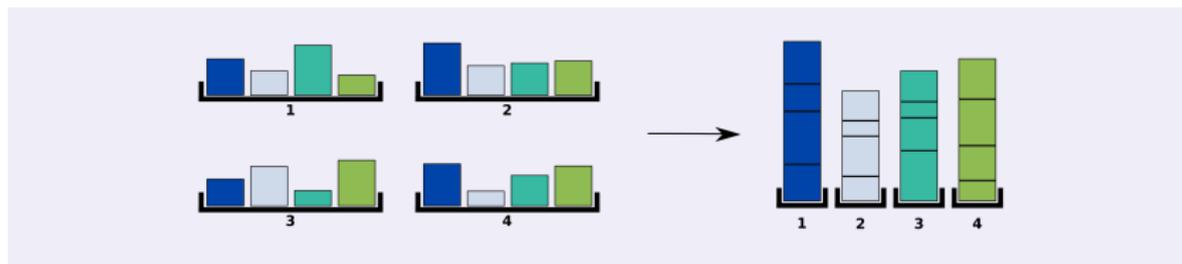
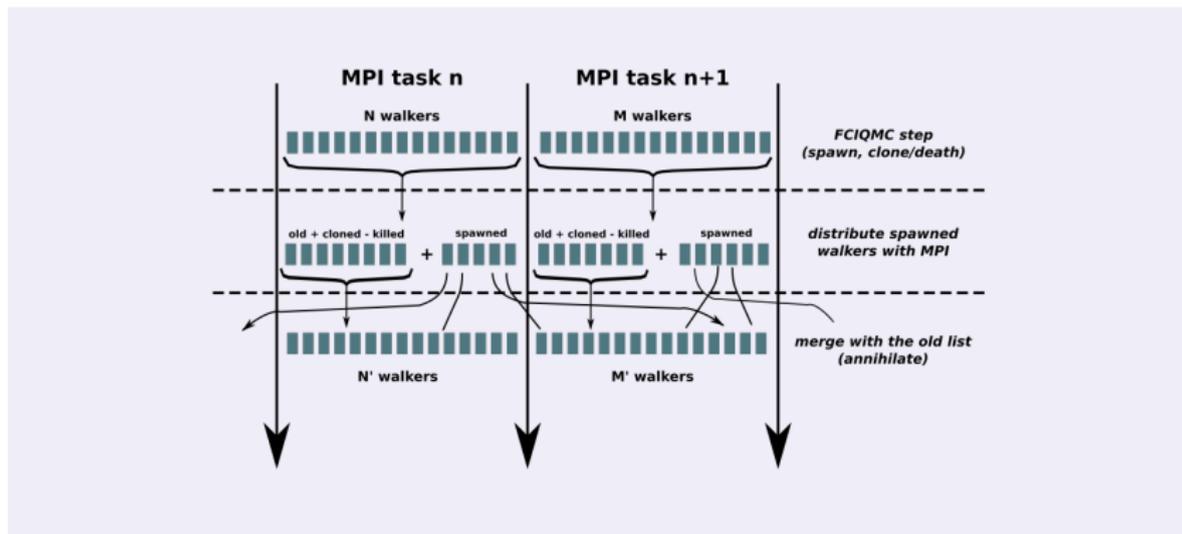
- FCIQMC is highly parallelizable
- Implemented in C++
- parallelization with MPI
- Master - Slave architecture
- Modular structure
- **Efficient and scalable annihilation algorithm**



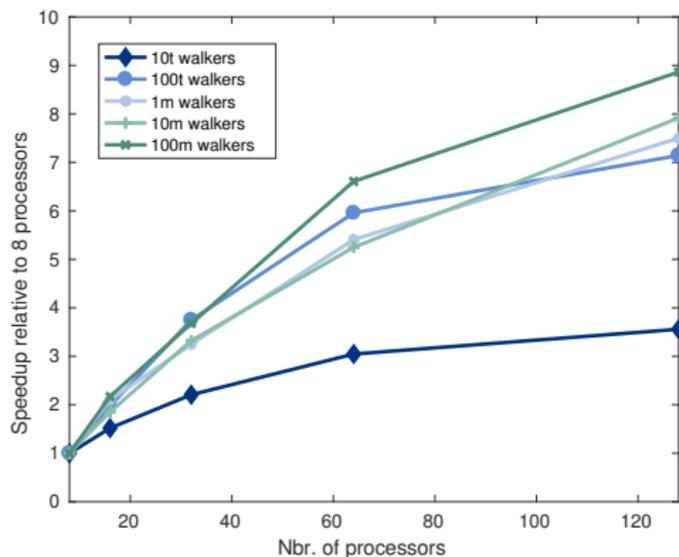
## Performance

- Dual hashing procedure
  - 1 Hash table for storing state information
  - 2 Hash function for state distribution among MPI tasks
- States are coded in **bitset** representation → broken into 16-bit integer array





Increasing population → increasing parallel performance



## Benchmarking

- Benchmarking and performance test on Hamiltonian systems
  - 1 Sign problem free - 1D antiferromagnetic Heisenberg-model
  - 2 Severe sign problem - 2D frustrated Heisenberg-model
- Match with analytical results even for large system sizes

## Benchmarking

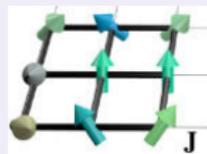
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## A system as a "proof of principle"

- **2D spin-1/2 lattice** governed by the **Heisenberg XYZ Hamiltonian** ( $\hbar = 1$ )

$$\hat{H} = \sum_{\langle i,j \rangle} \left( J_x \hat{\sigma}_i^x \hat{\sigma}_j^x + J_y \hat{\sigma}_i^y \hat{\sigma}_j^y + J_z \hat{\sigma}_i^z \hat{\sigma}_j^z \right)$$

$$\dot{\hat{\rho}} = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}] - \frac{\gamma}{2} \sum_j \left[ \left\{ \hat{\sigma}_j^+ \hat{\sigma}_j^-, \hat{\rho} \right\} - 2\hat{\sigma}_j^- \hat{\rho} \hat{\sigma}_j^+ \right]$$



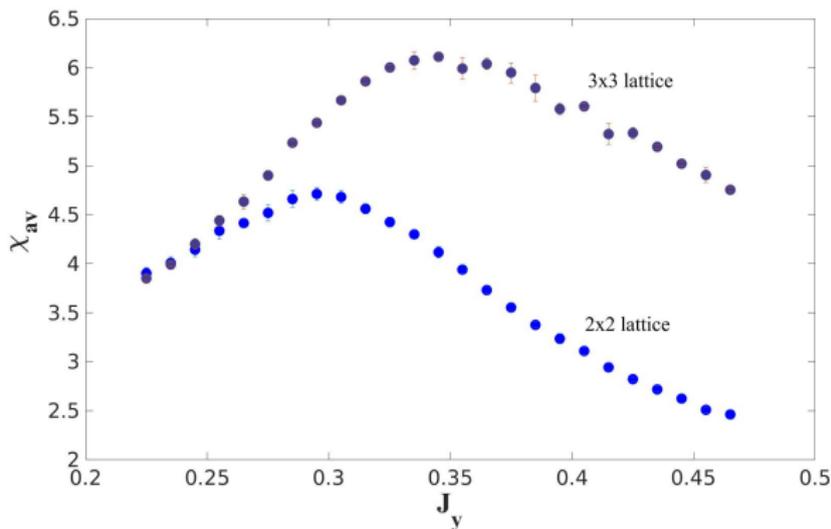
- Mean-field phase diagram known [Lee *et al.*, PRL 110, (2013)]
- Presence of dissipative phase transition [Rota *et al.*, PRB 95, (2017)]

Figure : Leblanc, Journal Phys. Cond. M. 25, (2013)

How to detect the phase transition ? [Rota *et al.* PRB 95, (2017)]

- In presence of an applied field :  $\hat{H}_{ext}(h, \theta) = \sum_j h(\cos(\theta)\hat{\sigma}_j^x + \sin(\theta)\hat{\sigma}_j^y)$
- The angularly-averaged susceptibility

$$\chi_{av} = \frac{1}{2\pi} \int_0^{2\pi} d\theta \left. \frac{\partial |\vec{M}(h, \theta)|}{\partial h} \right|_{h=0}$$



## Motivation

- Experimental progress
- Major challenges in simulation
- Mutual features in Lindbladian dynamics and imaginary-time Schrödinger equation
- A generalized PMC method for open systems

## What's done

- Highly efficient, parallel implementation
- Benchmarking on different Hamiltonian lattice models
- A "proof of principle" on open systems
- In progress : larger system sizes, different models (e.g. driven-dissipative Bose-Hubbard, boundary dissipative problems, ...)

**Thank you for your attention !**

- The Hamiltonian

$$\hat{H} = J \sum_{\langle i,j \rangle} \mathbf{S}_i \mathbf{S}_j$$

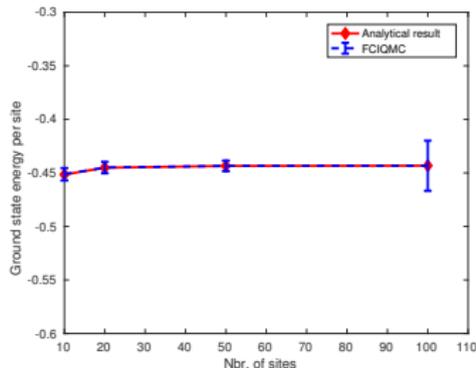
- Antiferromagnet :  $J > 0$
- On bipartite lattice it is **sign problem free**

gauge transformation on one sublattice  $\rightarrow$  all matrix elements are positive

$$\frac{J}{2} (S_i^+ S_j^- + S_i^- S_j^+) \xrightarrow{S_i^\pm \rightarrow (-1)^{|i|} S_i^\pm} -\frac{J}{2} (S_i^+ S_j^- + S_i^- S_j^+)$$

(although we don't use any transformation)

- Analytical solution known  $\Rightarrow$  benchmark model



## The model

### ■ The Hamiltonian

$$\hat{H} = J_1 \sum_{\langle i, \hat{e} \rangle} \mathbf{S}_i \mathbf{S}_{i+\hat{e}} + J_2 \sum_{\langle i, \hat{d} \rangle} \mathbf{S}_i \mathbf{S}_{i+\hat{d}}$$

- where  $J_1, J_2 > 0$ ,  $\hat{e} (= \hat{x}, \hat{y})$ , and  $\hat{d} (= \hat{x} \pm \hat{y})$
- Frustrated system  $\Rightarrow$  **sign problem**
- Complex dynamics and variety of phase transitions
  - Small frustration regime : **Néel order**
  - Strong diagonal interaction : **collinear order**
  - Intermediate coupling ratio : **suggestions of various types of RVB**

## Order parameter estimators

- Néel order parameter :  $M^2 = \left\langle \left( \frac{1}{N} \sum_{\mathbf{r}} (-1)^{x+y} S_{\mathbf{r}}^z \right)^2 \right\rangle$

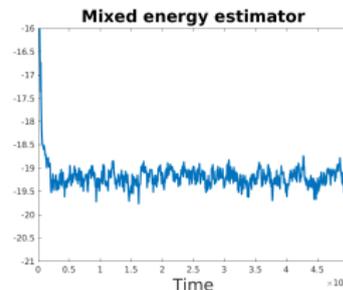
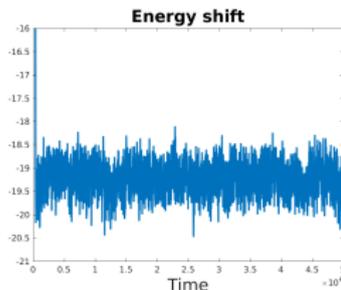
- Collinear order parameter :

$$\chi_{col} = \left\langle \left( \frac{1}{N} \sum_{\mathbf{r}} \mathbf{S}_{\mathbf{r}} (\mathbf{S}_{\mathbf{r}+\hat{x}} + \mathbf{S}_{\mathbf{r}-\hat{x}} - \mathbf{S}_{\mathbf{r}+\hat{y}} - \mathbf{S}_{\mathbf{r}-\hat{y}}) \right)^2 \right\rangle$$

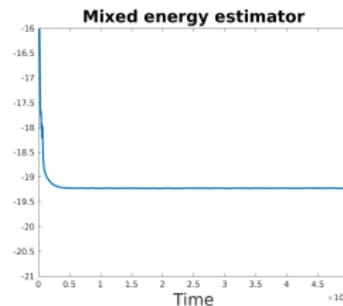
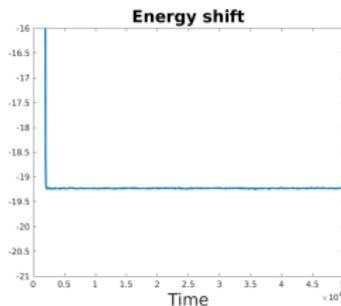
- VBS order parameter :  $D^2 = \langle D_x^2 + D_y^2 \rangle$ , where  $D_i = \frac{1}{N} \sum_{\mathbf{r}} (-1)^{i \cdot \mathbf{r}} \mathbf{S}_{\mathbf{r}} \mathbf{S}_{\mathbf{r}+\hat{i}}$

Increasing the walker population effectively reduces the stochastic error

10.000 walkers

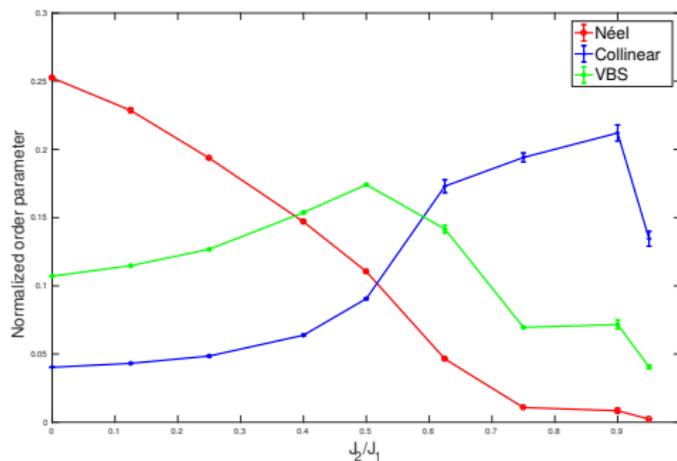


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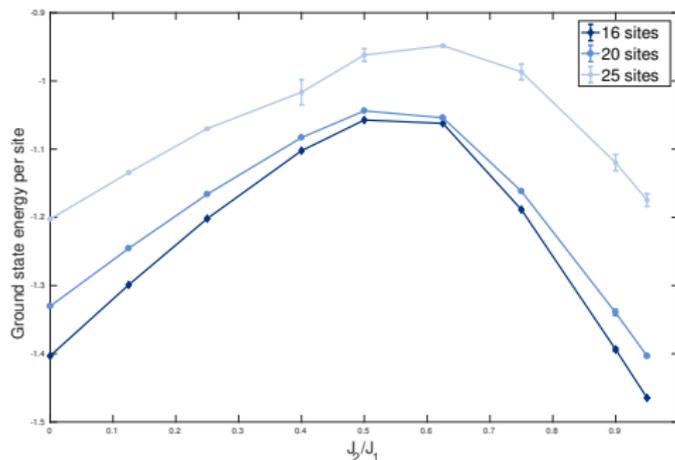
## Normalized order parameter estimators

- System size : 16 sites
- Supports the occurrence of a phase transition
- VBS order parameter does not prove to be a clear indicator → the intermediate phase contains different types of spin-liquid states



## Ground state energy

- Ground state energy levels from 16 up to 25 sites
- In good agreement with earlier studies
- Results with bare FCIQMC algorithm → robustness
- Clever basis, importance sampling or initiator approach would improve the efficiency



- The phase space average of a quantity  $A$

$$\langle A \rangle = \frac{1}{Z} \sum_{c \in \Omega} A(c)p(c), \quad Z = \sum_{c \in \Omega} p(c)$$

→ but! in QM finding  $p(c)$  is not that easy

- Every  $D$ -dimensional quantum system corresponds to a  $D + 1$ -dimensional effective classical system → various Quantum-to-classical mappings

## Finite-temperature representations

- $\langle A \rangle = \frac{1}{Z} \text{Tr}(Ae^{-\beta\hat{H}})$
- Stochastic series expansion (SSE)
- Trotter-Suzuki decomposition

## Zero-temperature projector representation

- $\langle A \rangle = \frac{1}{Z} \langle \psi_0 | A | \psi_0 \rangle$
- Projector scheme :  $|\psi_0\rangle \propto \lim_{\tau \rightarrow \infty} e^{-\tau H} |\psi_{in}\rangle$
- SSE for  $Z = \langle \psi_0 | \psi_0 \rangle = \langle \psi_{in} | e^{-2\tau H} | \psi_{in} \rangle$

## Sign problem

- $p(c)$  is proportional to the product of Hamiltonian elements
- **Sign problem** if some of the  $p(c) < 0$ 
  - can not interpret  $p(c)$  as probabilities
  - appears in simulation of fermions or frustrated magnets

## "Solution"

- Sampling by using  $|p(c)|$

$$Z = \sum_c p(c) = \frac{\sum_c \text{sign}\{p(c)\} |p(c)|}{\sum_c |p(c)|}$$

- **BUT** the mean value of the sign becomes exponentially small

$$\langle s \rangle = \frac{Z}{Z_{|p|}} = e^{-\beta N \Delta f}$$

- **Exponential growth in the error**

$$\frac{\Delta s}{\langle s \rangle} \sim e^{\beta N \Delta f}$$

**The same limitation in PMC techniques** : an undesired state grows relative to the state of interest